SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
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1. Name and Addre HANSEN M	ss of Reporting Persc ARKA	on*	2. Issuer Name and Ticker or Trading Symbol <u>Stitch Fix, Inc.</u> [SFIX]		tionship of Reporting Person(s) to Issuer all applicable) Director 10% Owner		
(Last) (First) (Middle) ONE MONTGOMERY TOWER SUITE 1500			3. Date of Earliest Transaction (Month/Day/Year) 03/14/2018		Officer (give title below)	Other (specify below)	
(Street) SAN FRANCISCO (City)	CA (State)	94104 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing Form filed by One Repo Form filed by More than Person	rting Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)				5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Class A Common Stock	03/14/2018		C ⁽²⁾		4,900(1)	A	\$ <mark>0</mark>	4,900	D			
Class A Common Stock	03/14/2018		S		4,900 ⁽⁶⁾	D	\$22.4457	0	D			
Class A Common Stock	03/15/2018		C ⁽²⁾		4,900(1)	A	\$ <mark>0</mark>	4,900	D			
Class A Common Stock	03/15/2018		S		4,900 ⁽⁷⁾	D	\$21.5904	0	D			
Class A Common Stock	03/16/2018		C ⁽²⁾		4,900(1)	A	\$ <mark>0</mark>	4,900	D			
Class A Common Stock	03/16/2018		S		4,900 ⁽⁸⁾	D	\$20.189	0	D			

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned														
(e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		ransaction Derivative ode (Instr. Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Class B Common Stock	\$0.064	03/14/2018		М		4,900		(1)	(1)	Class A Common Stock	4,900	\$0	4,900	D	
Non- qualified Stock Option (Right to Buy)	\$0.064	03/14/2018		М			4,900	(3)	04/24/2023	Class B Common Stock ⁽⁴⁾⁽⁵⁾	4,900	\$0	70,100	D	
Class B Common Stock	(1)	03/14/2018		C ⁽²⁾			4,900	(1)	(1)	Class A Common Stock	4,900	\$0	0	D	
Class B Common Stock	\$0.064	03/15/2018		М		4,900		(1)	(1)	Class A Common Stock	4,900	\$0	4,900	D	
Non- qualified Stock Option (Right to Buy)	\$0.064	03/15/2018		М			4,900	(3)	04/24/2023	Class B Common Stock ⁽⁴⁾⁽⁵⁾	4,900	\$0	65,200	D	
Class B Common Stock	(1)	03/15/2018		C ⁽²⁾			4,900	(1)	(1)	Class A Common Stock	4,900	\$0	0	D	
Class B Common Stock	\$0.064	03/16/2018		М		4,900		(1)	(1)	Class A Common Stock	4,900	\$0	4,900	D	
Non- qualified Stock Option (Right to Buy)	\$0.064	03/16/2018		М			4,900	(3)	04/24/2023	Class B Common Stock ⁽⁴⁾⁽⁵⁾	4,900	\$0	60,300	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Nun Deriva Securi Acquir or Dis of (D) 3, 4 an	tive ties red (A) posed (Instr.	6. Date Exerc Expiration Da (Month/Day/\	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Class B Common Stock	(1)	03/16/2018		C ⁽²⁾			4,900	(1)	(1)	Class A Common Stock	4,900	\$0	0	D	

Explanation of Responses:

1. Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration date.

2. Represents the conversion of Class B Common Stock into Class A Common Stock held of record by the Reporting Person.

3. The stock option vests over four years, with 25% vesting on March 6, 2014 and the balance vesting in equal monthly installments over the remaining three years, subject to the individual's continued service through each vesting date.

4. Each share of Class B Common Stock is convertible at any time at the option of the Reporting Person into one share of Class A Common Stock and has no expiration date. Class B Common Stock will convert automatically into Class A Common Stock on the earlier of (i) the date on which the number of outstanding shares of Class B Common Stock represents less than 10% of the aggregate combined number of outstanding shares of Class A Common Stock represents less than 10% of the aggregate combined number of anajority of the outstanding shares of Class B Common Stock, voting as a single class.

5. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock (i) upon any transfer, whether or not for value (subject to certain exceptions), or (ii) in the event of the death or disability (as defined in the amended and restated certificate of incorporation of the Issuer) of the reporting person, shares of Class B Common Stock held by the reporting person or the reporting person's permitted estate planning entities will convert into Class A Common Stock.

6. The reported price in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$\$22.15 to \$22.75 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

7. The reported price in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$21.50 to \$21.78 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

8. The reported price in Column 4 is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$20.005 to \$20.70 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

<u>/s/ Scott Darling, Attorney-in-</u> Fact for Marka Hansen

03/16/2018

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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